

**Stock Broker: Frontline Stock Brokers Private Limited**

## Annexure-B

### Investor Complaint Data

**Data for every month ending AUGUST 2026:**

| S.N. | Received from           | Carried forward from previous month | Receiv ed during the month | Total pending | Resolved * | Pending at the end of the month** |                                | Average Resolution Time(in Days)^ |
|------|-------------------------|-------------------------------------|----------------------------|---------------|------------|-----------------------------------|--------------------------------|-----------------------------------|
|      |                         |                                     |                            |               |            | Pending for less than 3 months    | Pending for more than 3 months |                                   |
| 1    | 2                       | 3                                   | 4                          | 5             | 6          | 7A                                | 7B                             | 8                                 |
| 1    | Directly from Investors | 0                                   | 0                          | 0             | 0          | 0                                 | 0                              | 0                                 |
| 2    | SEBI(SCORES)            | 0                                   | 0                          | 0             | 0          | 0                                 | 0                              | 0                                 |
| 3    | Stock Exchanges         | 0                                   | 0                          | 0             | 0          | 0                                 | 0                              | 0                                 |
| 4    | Other Sources (ifany)   | 0                                   | 0                          | 0             | 0          | 0                                 | 0                              | 0                                 |
| 5    | Grand Total             | 0                                   | 0                          | 0             | 0          | 0                                 | 0                              | 0                                 |

**Trend of monthly disposal of complaints:****Data for every month ending AUGUST 2026:**

| S.N. | Month          | Carried Forward from previous month | Received | Resolved* | Pending** |
|------|----------------|-------------------------------------|----------|-----------|-----------|
| 1    | April2024      | 0                                   | 0        | 0         | 0         |
| 2    | May2024        | 0                                   | 0        | 0         | 0         |
| 3    | June2024       | 0                                   | 0        | 0         | 0         |
| 4    | July2024       | 0                                   | 0        | 0         | 0         |
| 5    | August2024     | 0                                   | 0        | 0         | 0         |
| 6    | September2024  | 0                                   | 0        | 0         | 0         |
| 7    | October2024    | 0                                   | 0        | 0         | 0         |
| 8    | November2024   | 0                                   | 0        | 0         | 0         |
| 9    | December2024   | 0                                   | 0        | 0         | 0         |
| 10   | January 2025   | 0                                   | 0        | 0         | 0         |
| 11   | February 2025  | 0                                   | 0        | 0         | 0         |
| 12   | March 2025     | 0                                   | 0        | 0         | 0         |
| 13   | April 2025     | 0                                   | 0        | 0         | 0         |
| 14   | May 2025       | 0                                   | 0        | 0         | 0         |
| 15   | June 2025      | 0                                   | 0        | 0         | 0         |
| 16   | July 2025      | 0                                   | 0        | 0         | 0         |
| 17   | August 2025    | 0                                   | 0        | 0         | 0         |
| 18   | September 2025 | 0                                   | 0        | 0         | 0         |
| 19   | October2025    | 0                                   | 0        | 0         | 0         |
| 20   | November2025   | 0                                   | 0        | 0         | 0         |
| 21   | December2025   | 0                                   | 0        | 0         | 0         |
| 22   | January 2026   | 0                                   | 0        | 0         | 0         |
| 23   | February 2026  | 0                                   | 0        | 0         | 0         |
| 24   | March 2026     | 0                                   | 0        | 0         | 0         |
| 25   | April 2026     | 0                                   | 0        | 0         | 0         |
| 26   | MAY 2026       | 0                                   | 0        | 0         | 0         |
| 27   | JUNE 2026      | 0                                   | 0        | 0         | 0         |

|    |                   |          |          |          |          |
|----|-------------------|----------|----------|----------|----------|
| 28 | JULY 2026         | 0        | 0        | 0        | 0        |
| 29 | AUGUST 2026       | 0        | 0        | 0        | 0        |
|    | <b>GrandTotal</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Stock Broker****Trend of annual disposal of complain**

| S.N. | Year      | Carried forward<br>from previous<br>year | Received<br>during the<br>year | Resolved<br>during the<br>year | Pending at<br>the end of<br>the year |
|------|-----------|------------------------------------------|--------------------------------|--------------------------------|--------------------------------------|
| 1    | 2018-2019 | 0                                        | 0                              | 0                              | 0                                    |
| 2    | 2019-2020 | 0                                        | 0                              | 0                              | 0                                    |
| 3    | 2020-2021 | 0                                        | 0                              | 0                              | 0                                    |
| 4    | 2021-2022 | 0                                        | 0                              | 0                              | 0                                    |
| 5    | 2022-2023 | 0                                        | 0                              | 0                              | 0                                    |
| 6    | 2023-2024 | 0                                        | 0                              | 0                              | 0                                    |
| 7    | 2024-2025 | 0                                        | 1                              | 1                              | 0                                    |
| 8    | 2025-2026 | 0                                        | 0                              | 0                              | 0                                    |
| 9    | 2026-2027 | 0                                        | 0                              | 0                              | 0                                    |